



March 25, 2015

The Honorable Ron Johnson  
Chairman  
Committee on Homeland Security and Governmental Affairs  
United States Senate  
328 Hart Senate Office Building  
Washington, DC 20510-6250

The Honorable Charles E. Grassley  
Chairman  
Committee on the Judiciary  
United States Senate  
135 Hart Senate Office Building  
Washington, DC 20510

Dear Chairman Johnson and Chairman Grassley:

This is in reply to your February 27, 2015, letter requesting information relating to the Farm Credit Administration (FCA), Office of Inspector General. Information requested involves open OIG recommendations; OIG reports provided to the agency for comment but not responded to within 60 days; investigative reports on employees at a GS-15 level or above or equivalent; instances of whistleblower retaliation; descriptions of attempts to interfere with IG independence; detailed descriptions of instances in which the agency has resisted or objected to oversight activities of the IG office or restricted or significantly delayed access to information; and nonpublic, closed reports of investigation, audit, or evaluation by the OIG.

We are providing the requested information for the reporting period dating from October 1, 2014, through the present and will continue to provide these reports on a semi-annual basis in conjunction with issuance of the OIG's Semiannual Reports to Congress.

**Request No. 1: An accounting of all outstanding unimplemented recommendations, as well as the aggregate potential cost savings of these open recommendations, including:**

- a. **The current number of open and unimplemented recommendations;**
- b. **The dates on which the open and unimplemented recommendations were initially made;**
- c. **Whether agency management has agreed or disagreed with the recommendations; and**
- d. **The total potential cost savings to the agency of the current open and unimplemented recommendations.**

**FCA OIG Response:** As of March 25, 2015, FCA OIG has no open or unimplemented recommendations.

**Request No. 2: A narrative description of all audits, evaluations, and investigations provided to the agency for comment but not responded to within 60 days.**

**FCA OIG Response:** All OIG audit, evaluation, and investigation reports provided to the FCA during this reporting period were responded to within 60 days.

**Request No. 3: A report on each investigation involving GS-15 level or above employees, or other Federal pay scale equivalent employees, at a Federal agency or department where misconduct was found, but no prosecution resulted, including:**

- a. A detailed description of the facts and circumstances of the investigation; and
- b. A detailed description of the status and disposition of the matter, including whether there was a referral to the Department of Justice, the date of any such referral, whether there was a declination from the Department, the date of any such declination, and an explanation of the reasons for any such declination.

**FCA OIG Response:** During this reporting period, OIG issued no reports finding misconduct by FCA employees at a Federal pay scale equivalent to a GS-15 level or above.

**Request No. 4: Detailed descriptions of any instances of whistleblower retaliation, including information about the official found to have engaged in retaliation and what, if any consequences, the agency imposed to hold that official accountable. However, please seek whistleblower consent before revealing any whistleblower's personally identifiable information to Congress.**

**FCA OIG Response:** OIG identified no instances of whistleblower retaliation within FCA or involving FCA employees during this reporting period.

**Request No. 5: Detailed descriptions of any attempts to interfere with IG independence, including:**

- a. Restricting communications between the IG office and Congress; and
- b. Budgetary constraints designed to limit the capabilities of the IG office.

**FCA OIG Response:** OIG has had no instances of attempts to interfere with IG independence during this reporting period.

**Request No. 6: A detailed description of any incident where the Federal agency or department, as applicable, has resisted or objected to oversight activities of the IG office or restricted or significantly delayed access to information, including the justification of the Federal agency or department for such action.**

**FCA OIG Response:** During this reporting period, OIG has had no instances in which the FCA resisted or objected to OIG oversight, or restricted or significantly delayed access to information. The FCA OIG has received full cooperation from the Agency in response to its information and data requests.

**Request No. 7: A detailed description of any investigation, evaluation, audit, or report that is closed and was not disclosed to the public.**

**FCA OIG Response:**

With respect to information on nonpublic, closed reports issued during this reporting period, all reports generated through OIG audits, inspections, and evaluations conducted during the period were publicly disclosed and are posted on my office's public website at [http://www.fca.gov/home/inspector/ig\\_reports.html](http://www.fca.gov/home/inspector/ig_reports.html). Our office also issued four Reports of

Investigation for which Closing Memoranda have already been posted to my office's website at: [FCA OIG Investigations Closing Memoranda](#). These Reports of Investigation addressed the following:

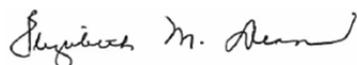
- OIG Report of Investigation No. 14-04 addressed alleged misuse of a government travel card by an FCA employee and was issued and referred to management on September 24, 2014. The allegations involved use of a government travel card for personal purchases, although the employee paid for all personal purchases out of personal funds. OIG closed the investigation on October 16, 2014 based on notice by management that it had taken action. The OIG Closing Memorandum is published online at: [FCA OIG Report of Investigation No. 14-04 - Closing Memo](#).
- OIG Report of Investigation No. 14-03 addressed alleged misuse of a government travel card by an FCA employee and was issued on November 12, 2014 and referred to management the next day. The allegations involved use of a government travel card for personal purchases, although the employee paid for all personal purchases out of personal funds. OIG closed the investigation on December 8, 2014 based on notice by management that it had taken action. The OIG Closing Memorandum is published online at: [FCA OIG Report of Investigation No. 14-03 - Closing Memo](#).
- OIG Report of Investigation No. 14-05 addressed alleged submission of false claims and statements relating to an erroneous contract payment and was issued and referred to management on December 4, 2014. The erroneous payment was refunded to the government in full. OIG closed the investigation on December 30, 2014 based on notice by management that it had taken action. The OIG Closing Memorandum is published online at: [FCA OIG Report of Investigation No. 14-05 - Closing Memo](#).
- OIG Report of Investigation No. 14-06 addressed a computer security incident involving FCA systems and was issued and referred to management for information, on December 30, 2014. The incident involved malware found on a government computer. The investigation was closed after referral to management based on OIG's determination that the incident did not merit further action by management. The OIG Closing Memorandum is published online at: [FCA OIG Report of Investigation No. 14-06 - Closing Memo](#).

These Reports of Investigation were not publicly disclosed because they include information protected from public release under the Privacy Act of 1974, 5 U.S.C. § 552a.

During this time period, the FCA OIG also issued an unpublished Management Advisory to the FCA Board, OIG Management Advisory No. 2015-01, *Suspension and Debarment Policy*. This advisory was issued on December 18, 2014 and recommended that FCA implement a policy that would enhance the agency's ability to efficiently review and refer cases for suspension and debarment proceedings. The Agency subsequently implemented such a policy.

Thank you for your efforts on behalf of the Inspectors General, and please contact my office at (703) 883-4030, if we may further assist.

Sincerely,



Elizabeth M. Dean  
Inspector General